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36228

PIPE IFW  
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PATENT & TRADEMARK

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
01-023

In Re Application Of: **ALDERUCCI et al.**

Serial No.  
09/933,588

Filing Date  
August 21, 2001

Examiner  
RETTA, Yehdega

Group Art Unit  
3622

Title:

**METHOD AND APPARATUS FOR PROVIDING A SUPPLEMENTARY PRODUCT SALE AT A POINT-OF-SALE  
TERMINAL**

TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Transmitted herewith is: **Petition to Request Acceptance of Declaration and Power of Attorney, 3 pp.;**

**Copy of Notice to File Missing Parts of Nonprovisional Application, 2 pp.;**  
**Copies of Declaration & Power of Attorney for Application and Return Receipt Postcard stamped received by  
USPTO on August 27, 2001, 4 pp.; and**  
**Return Receipt Postcard.**

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0271**  
as described below.
- ☒ Charge the amount of **\$195.00**
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: **April 30, 2004**

**Magdalena M. Fincham**  
**Attorney for Applicants**  
**PTO Registration No. 46,085**  
**Walker Digital, LLC**  
**203.461.7041/phone**  
**203.461.7300/fax**

**CUSTOMER NO. 22927**

**Mfincham@walkerdigital.com**

CC:

I certify that this document and fee is being deposited  
April 30, 2004 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Director of the United States Patent and Trademark Office,  
P.O. Box 1450, Alexandria VA 22313-1450.

  
Signature of Person Mailing Correspondence

**Veronika S. Leliever**

Typed or Printed Name of Person Mailing Correspondence

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CUSTOMER NO. 22927

Applicants: Alderucci et al.  
Application No.: 09/933,588  
Filed: August 21, 2001  
Title: **METHOD AND APPARATUS FOR PROVIDING A  
SUPPLEMENTARY PRODUCT SALE AT A POINT-OF-  
SALE TERMINAL**

Attorney Docket No. 01-023

Group Art Unit: 3622  
Examiner: RETTA, YEHDEGA

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION TO REQUEST ACCEPTANCE  
OF DECLARATION AND POWER OF ATTORNEY**

Applicants request acceptance of the executed Declaration and Power of Attorney for Patent Application, which applicants filed with the USPTO on August 21, 2001.

Relevant facts and proof

Applicants filed the present patent application on August 21, 2001. No Oath or Declaration was filed with the application on August 21, 2001.

On August 24, 2001, Applicants filed in connection with the present application a Transmittal Letter, with executed Assignment of Rights, Title and Interest in Invention, Recordation Form Cover Sheet, and Declaration and Power of Attorney for Patent Application.

On September 4, 2001, Applicants received the Return Receipt Postcard, (copy enclosed herewith) stamped by the USPTO, indicating that a Transmittal Letter, with executed Assignment of Rights, Title and Interest in Invention, Recordation Form Cover Sheet, and Declaration and Power of Attorney for Patent Application were received on August 27, 2001.

On September 28, 2001, Applicants received a Notice to File Missing Parts of Nonprovisional Application indicating the oath and declaration, and Figures 10A and 10B were missing.

On October 4, 2001, Applicants filed a preliminary amendment correcting the specification to refer to Fig. 10, rather than Figs. 10A and 10B, rendering the request for Fig 10A and 10B unnecessary.

Entry of the previously filed Declaration and Power of Attorney for Patent Application, a copy of which is enclosed herein, is respectfully requested.

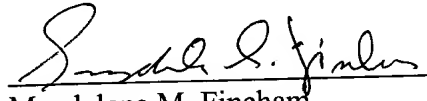
Petition Fee

Please charge \$130.00 for this petition to our Deposit Account No. 50-0271. Please charge any additional fees that may be required for this Petition, or credit any overpayment or refund to Deposit Account No. 50-0271.

If an additional extension of time is required in addition to that requested in a petition for an extension of time, please grant a petition for that extension of time which is required to make this Response timely, and please charge any fee for such extension to Deposit Account No. 50-0271.

Respectfully submitted,

April 30, 2004  
Date

  
Magdalena M. Fincham  
Attorney for Applicants  
Registration No. 46,085  
Walker Digital, LLC  
(203) 461-7041 /direct  
(203) 461-7300 /fax  
Mfincham@walkerdigital.com

**COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/933,588	08/21/2001	Dean P. Alderucci	01-023

 22927  
 WALKER DIGITAL  
 FIVE HIGH RIDGE PARK  
 STAMFORD, CT 06905

File No:	01-023
Attorney:	DPA
Due Date:	11/25/01
Docketed:	09/28/01

CONFIRMATION NO. 6856

FORMALITIES LETTER



\*OC000000006787225\*

Date Mailed: 09/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$130.

The following item(s) appear to have been omitted from the application:

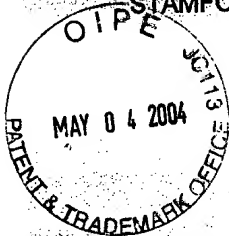
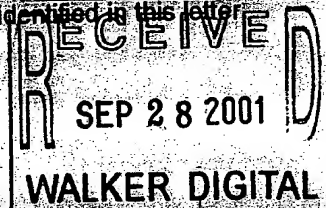
- Figure(s) Fig 10A, Fig10B described in the specification.

*Provisional Amendment prepared & filed OCT 4/01*

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the



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original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

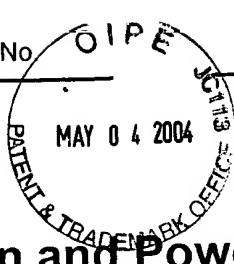
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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

**COPY**

Docket No.

01-023

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD AND APPARATUS FOR PROVIDING A SUPPLEMENTARY PRODUCT SALE AT A POINT-OF-SALE TERMINAL**

the specification of which  
(check one)

☐ is attached hereto.

☒ was filed on August 21, 2001 as United States Application No. or PCT International

Application Number Not Yet Assigned

and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐



I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

09/045,347

\_\_\_\_\_  
(Application Serial No.)

March 20, 1998

\_\_\_\_\_  
(Filing Date)

Pending: Allowed

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

08/920,116

\_\_\_\_\_  
(Application Serial No.)

August 26, 1997

\_\_\_\_\_  
(Filing Date)

Patented

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

08/822,709

\_\_\_\_\_  
(Application Serial No.)

March 21, 1997

\_\_\_\_\_  
(Filing Date)

Patented

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**COPY**

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

**22927**

PATENT, TRADEMARK OFFICE

Send Correspondence to:

**22927**

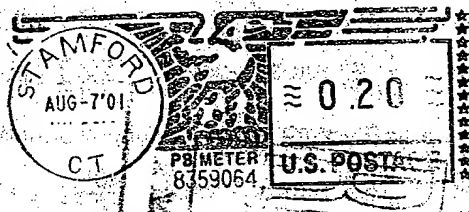
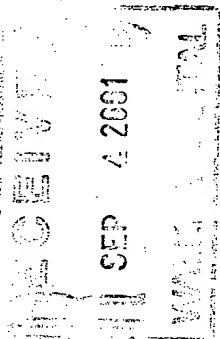
PATENT, TRADEMARK OFFICE

Direct Telephone Calls to: *(name and telephone number)***Magdalena M. Fincham 203.461.7041**

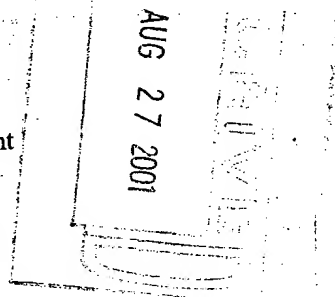
Full name of sole or first inventor <b>Dean P. Alderucci</b>	
Sole or first inventor's signature <i>Dean Alderucci</i>	Date <b>August 24 2001</b>
Residence <b>116 Pinewood Road, Stamford, CT 06903</b>	
Citizenship <b>USA</b>	
Post Office Address <b>s/a</b>	

Full name of second inventor, if any <b>Andrew S. Van Luchene</b>	
Second inventor's signature <i>Andrew S. Van Luchene</i>	Date <b>August 22, 2001</b>
Residence <b>298 Elizabeth, New York, NY 10012</b>	
Citizenship <b>USA</b>	
Post Office Address <b>s/a</b>	

# COPY



Veronika S. Leliever  
Intellectual Property Department  
Walker Digital Corporation  
Five High Ridge Park  
Stamford, CT 06905



Commissioner for Patents:

Date Received:

Applicant: Alderucci et al.  
Appl. No.: Not Yet Assigned  
Filing Date: August 21, 2001  
Title: METHOD AND APPARATUS FOR PROVIDING A SUPPLEMENTARY  
PRODUCT SALE AT A POINT-OF-SALE TERMINAL  
(01-023)

Sir:

Please acknowledge receipt of the following papers by stamping the date received on this card on this card and returning the same to the addressee.

- Transmittal Letter, 1 pg., (x2);
- Recordation Form Cover Sheet, 2 pp., (x2);
- Assignment of Rights, Title and Interest in Invention, 3 pp.;
- Declaration and Power of Attorney for Patent Application, 3 pp.;

Date mailed: August ~~24~~, 2001